

4.1	How many merchant ships of 100 gross tonnage and upwards, subject to the relevant instruments you indicated in question 3, are currently flying the flag of your State?	2,197
4.2	What is the total gross tonnage of merchant ships currently flying the flag of your State?	126,497,285
INTERNAL CRITERIA		
Legal framework		
5	Does your Administration have the necessary laws in force to implement international maritime safety and pollution prevention instruments with regard to: <ul style="list-style-type: none"> .1 the construction, equipment and management of ships; .2 the prevention, reduction and control of pollution of the marine environment; 3. the safe loading of ships; 4. the manning of ships; 5. the safety of navigation (including taking part in mandatory reporting and routing systems), maintenance of communications and prevention of collisions? 	Yes / No Yes / No Yes / No Yes / No Yes / No
6	Does your Administration have the necessary laws in force to ensure the provision of penalties of adequate severity to discourage violation of international instruments to which your State is a Party?	Yes / No
7	Does your Administration have the necessary laws in force to provide for ship inspections to ensure compliance with international maritime safety and pollution prevention standards to which your State is a Party?	Yes / No
8	Does your Administration have the necessary laws in force to take legal action against ships which have been identified as not being in compliance with the international instruments to which your State is a Party?	Yes / No
9	Does your Administration have the necessary laws in force to carry out the required casualty investigations?	Yes / No
Enforcement		
10	Does your Administration have an infrastructure, including personnel with appropriate technical expertise and experience, to: <ul style="list-style-type: none"> 1. identify ships flying the flag of your State which are not in compliance with international maritime safety and pollution prevention requirements? 2. take action against ships flying the flag of your State which have been identified as not being in compliance with international maritime safety and pollution prevention requirements? <p>- If yes, against how many such ships was action taken for each of the previous 5 years*?</p>	Yes / No Yes / No

Answer:	2018	2019	2020	2021	2022	
	0 ship	0 ship	0 ship	0 ship	0 ship	
	Five-year rolling period = 0 ship					
11	Did your Administration investigate detentions by port States of ships flying the flag of your State for each of the previous 5 years*? (see also question 28) - If yes, indicate how many such detentions were investigated.					Yes / No
Answer:	2018	2019	2020	2021	2022	
	48 ships	45 ships	56 ships	53 ships	58 ships***	
	Five-year rolling period = 260 investigations					
Recognized organizations acting on behalf of the Administration						
12	Which organizations has your Administration recognized for the purpose of delegation of authority under the relevant instruments you indicate under question 3?					
Answer:	<ol style="list-style-type: none"> 1. American Bureau of Shipping 2. Bureau Veritas 3. China Classification Society 4. DNV AS 5. Korean Register 6. Lloyd's Register 7. Nippon Kaiji Kyokai 8. RINA Services S.p.A 9. Russian Maritime Register of Shipping 					
13	When your Administration delegates authority to recognized organizations, does it follow resolutions A.739(18) and A.789(19) as minimum requirements, the requirements in SOLAS 74, regulation XI/1, and the analogous requirements in MARPOL 73/78 in any delegation of authority?					Yes / No
14	Has your Administration provided IMO with a copy of the formal agreement or equivalent legal arrangements with the recognized organizations listed in question 12?					Yes / No
15	Indicate which survey and/or certification functions your Administration has delegated to the recognized organizations referred to in question 12.					
Answer:	Surveys for the issue of: <ol style="list-style-type: none"> (a) Cargo Ship Safety Certificate; (b) Cargo Ship Safety Construction Certificate; (c) Cargo Ship Safety Equipment Certificate; (d) Cargo Ship Safety Radio Certificate; 					(1) ROs have been authorized to conduct surveys and issue certificates to cargo ships for items (a) to (t); and

<ul style="list-style-type: none"> (e) International Pollution Prevent Certificates for the Carriage of Noxious Liquid Substance in Bulk; (f) Document of Compliance for Carriage of Dangerous Goods; (g) Certificate of Fitness for the Carriage of Liquefied Gases in Bulk; (h) International Certificate of Fitness for the Carriage of Liquefied Gases in Bulk; (i) Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk; (j) International Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk; (k) Document of Authorization (Grain Loading); (l) Document of Compliance (ISM); (m) Safety Management Certificate (ISM); (n) International Ship Security Certificate; (o) International Sewage Pollution Prevention Certificate#; (p) International Air Pollution Prevention Certificate#; (q) International Oil Pollution Prevention Certificate#; (r) International Load Line Certificate#; (s) International Tonnage Certificate#; and (t) Exemption certificate for cargo ships except the initial exemption certificate issued by this Administration. 	<p>(2) # ROs have also been authorized to conduct surveys and issue certificates to passenger ships and high-speed crafts for items (o) to (s).</p>
<p>16 Indicate, for the instruments you listed under question 3, which survey and/or certification functions are carried out by your Administration.</p>	
<p>Answer: The following survey and certification functions are carried out by this Administration:</p> <ul style="list-style-type: none"> (a) survey for the issue of Passenger Ship Safety Certificate; (b) survey for the issue of High-Speed Craft Safety Certificate; (c) audit and issue of Safety Management Certificate and Document of Compliance for passenger ships and their technical management companies respectively; (d) audit and issue of International Ship Security Certificate for passenger ships; (e) issue of Dispensation / Exemption Certificate for passenger ships; (f) issue of Minimum Safe Manning Certificate; (g) issue of International Load Line Exemption Certificate; and (h) issue of initial Dispensation / Exemption Certificate for cargo ships. 	

17 Does your Administration carry out the verification and monitoring functions specified in resolution A.739(18)?	Yes / No														
18 How does your Administration carry out the verification and monitoring functions specified in resolution A.739(18)?															
<p>Answer:</p> <p>(a) A Flag State Quality Control (FSQC) system was established and one of the purposes is to verify and monitor the performance of the ROs who conduct surveys, verifications and certifications for HK ships on behalf of this Administration. The report of ROs' performance is uploaded to the website of this Administration annually;</p> <p>(b) Under the FSQC system, the quality of HK registered ships whose quality is questionable under the screening of FSQC system will be inspected by this Administration;</p> <p>(c) HK ships and their respective RO are required to rectify the deficiencies and non-conformities detected under the FSQC system;</p> <p>(d) When considered necessary, additional DOC and/or SMC audits will be conducted by this Administration; and</p> <p>(e) MD also participate in DOC/SMC/ISPS audit and MLC inspection conducted by ROs, including verification of audit/inspection reports.</p>															
19 How often does your Administration verify and monitor the work of recognized organizations acting on its behalf?	On a continuous basis														
20 How does your Administration take specific responsibility for international certificates issued on its behalf by dependent territories/second registers?	Not applicable														
Casualty and incident investigation															
21 Does your Administration have the means (financial and administrative) to ensure that thorough and prompt casualty and incident investigations into all cases of serious and very serious casualties, as defined in paragraphs 4.2 and 4.3 of the Annex to resolution A.849(20), are carried out?	Yes / No														
22 For each of the previous 5 years*, for ships flying the flag of your State: .1 How many serious and very serious casualties were investigated? .2 How many such serious and very serious casualties were reported to IMO?															
<p>Answer:</p> <table border="1" data-bbox="357 1827 1241 2051"> <tr> <td data-bbox="357 1827 587 1890">.1</td> <td data-bbox="587 1827 716 1890">Year</td> <td data-bbox="716 1827 845 1890">2018</td> <td data-bbox="845 1827 975 1890">2019</td> <td data-bbox="975 1827 1104 1890">2020</td> <td data-bbox="1104 1827 1233 1890">2021</td> <td data-bbox="1233 1827 1362 1890">2022</td> </tr> <tr> <td></td> <td data-bbox="587 1890 716 2051">No. of serious or very serious casualties investigated</td> <td data-bbox="716 1890 845 2051">11</td> <td data-bbox="845 1890 975 2051">14</td> <td data-bbox="975 1890 1104 2051">14</td> <td data-bbox="1104 1890 1233 2051">8</td> <td data-bbox="1233 1890 1362 2051">10</td> </tr> </table>	.1	Year	2018	2019	2020	2021	2022		No. of serious or very serious casualties investigated	11	14	14	8	10	
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.2	Year	2018	2019	2020	2021	2022									

	No. reported	11	14	14	8	10	
23	Can your Administration provide to IMO, on request, evidence which shows that casualties and incidents on ships flying the flag of your State have been investigated?						Yes / No
24	For each of the previous 5 years*, has your Administration provided IMO with the mandatory annual reporting required by article 11.1(f) of MARPOL 73/78?						Yes / No
25	How many allegations of violations, according to article 4 of MARPOL 73/78, have been made against ships flying the flag of your State in each of the previous 5 years*?						
Answer:	2018	2019	2020	2021	2022		
	1 case	1 case	1 case	0 case	0 case		
	Five-year rolling period = 3 cases						
	.1 How many investigations or legal proceedings has your Administration carried out in the previous 5 years* in accordance with articles 4 and 6 of MARPOL?						
Answer:	2018	2019	2020	2021	2022		
	1 case	1 case	1 case	0 case	0 case		
	Five-year rolling period = 3 cases						
	.2 In how many cases did your Administration report back to the reporting State or to IMO in each of the previous 5 years*?						
Answer:	2018	2019	2020	2021	2022		
	1 case	1 case	1 case	0 case	0 case		
	Five-year rolling period = 3 cases						
EXTERNAL CRITERIA							
26	For each of the previous 5 years*, how many ships flying the flag of your State:						
	.1 have been involved in serious or very serious casualties?						
	.2 have become total losses or constructive total losses?						
	.3 have caused severe pollution**?						
	.4 What casualty rate per 1000 ships does this represent?						

.5 What is the total tonnage involved as a percentage of the total fleet?

Answer:

.1	Year	2018	2019	2020	2021	2022
	No. of Ships	11	18	18	17	14
.2	Year	2018	2019	2020	2021	2022
	No. of Ships	0	0	0	0	0
.3	Year	2018	2019	2020	2021	2022
	No. of Ships	0	1	0	0	0
.4	Year	2018	2019	2020	2021	2022
	No. of ships involved accident	11	18	18	17	14
	Total no. of ships	2411	2387	2394	2332	2197
	Rate per 1000	4.6	7.5	7.5	7.3	6.0
.5	Year	2018	2019	2020	2021	2022
	Total tonnage involved as a percentage of the total fleet	0.29%	0.59%	0.49%	0.63%	0.33%

27 In each of the previous 5 years*, how many lives have been lost:

- .1 in casualties involving ships flying the flag of your State?
- .2 due to occupational accidents (i.e. other than from casualties to ships) on ships flying the flag of your State?

NB - Includes: falls; boarding or disembarking; accidents on deck and in machinery spaces; deaths in enclosed spaces; but does not include: accidents ashore; homicide; suicide; or deaths from disease or natural causes.

Answer:

.1	Year	2018	2019	2020	2021	2022
	Persons lost their lives	32	24	14	15	29
.2	Year	2018	2019	2020	2021	2022
	Persons lost their lives	10	11	17	12	12

28.1 For each of the previous 5 years*, how many ships flying the flag of your State were detained, within the scope of SOLAS 74, MARPOL 73/78, LL 66 or COLREG 72, by port States?						
Answer:						
.1	Year	2018	2019	2020	2021	2022
	Detentions	48	45	56	53	58***
	Nos. of inspection	5820	5653	3665	4257	4142***
	Detention rate	0.82%	0.81%	1.53%	1.25%	1.40%
Five-year rolling average = 1.162%						
28.2 What detention rate per 1000 ship inspections does this represent? (see also question 11).						
Answer:						
.2	Year	2018	2019	2020	2021	2022
	Detentions	8.2	8.1	15.3	12.5	14.0
Five-year rolling average = 11.62						

* or from the date your Government became a Party to the relevant instrument, if that is later.

** "Severe pollution" is a case of pollution which, as evaluated by the coastal State(s) affected or the flag State, as appropriate, produces a major deleterious effect upon the environment, or which would have produced such an effect without preventive action.

*** the updated figure would be pending the final annual reports of the MOUs.